



## UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/940,324	08/27/2001	Robert T. George	2207/12003

## CONFIRMATION NO. 5090

## FORMALITIES LETTER



\*OC000000006806880\*

25693  
KENYON & KENYON (SAN JOSE)  
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Date Mailed: 09/28/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/03/2002 BNGUYEN1 00000101 110600 09940324

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## U.S. Department of Commerce

## Patent and Trademark Office

Commissioner for Patents, Washington, DC 20231  
Attorney Docket No.  
2207/12003

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2001  
U.S. PATENT & TRADEMARK OFFICE  
Application Number      Filing Date      Examiner  
09/940,324      August 27, 2001      Not Assigned      Group Art Unit  
TBD

Application Title: **METHOD AND APPARATUS FOR THE UTILIZATION OF DISTRIBUTED CACHES**  
Inventor(s)  
Robert T. George  
Dennis M. Bell  
Kenneth C. Creta

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner for Patents, Box Missing Parts, Washington, DC 20231 on

Date of Deposit: November 26, 2001      Signature:   
I. Marie Kotsubo

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION -  
FILING DATE GRANTED and CORRECT FILING RECEIPT**

To complete the filing requirements for the above-referenced application under 37 CFR §1.51, enclosed please find the following: 1) Executed Declaration and Power of Attorney (3 signature pages); 2) copy of the Notice to File Missing Parts of Application, mailed on September 28, 2001; and Postcard for date-stamped return as confirmation of receipt of these items.

The Commissioner is hereby authorized to charge Deposit Account **11-0600** for the surcharge fee of **\$130.00** per 37 CFR §1.16(e). The Commissioner is also authorized to charge any additional fees required or credit any overpayment in connection with this correspondence to Deposit Account **11-0600**.

A duplicate copy of this sheet is attached.

Date: November 26, 2001

By: 

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